Attorney Docket No.: 18781-002710US Client Reference No.: T99-008-1

### **DECLARATION**

As a below named	l inventor, l	I declare	that:
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My residence, post office address and citizenship	are as stated below next to n	ny name; I believe I am t	he original, first and sole
inventor (if only one name is listed below) or an o	riginal, first and joint inventor	(if plural inventors are nat	med below) of the subject
matter which is claimed and for which a patent is	sought on the invention entitled	1: COMPOUNDS FOR T	HE MODULATION OF
PPARy ACTIVITY the specification of which	is attached hereto or	X was filed on June 28,	2000 as Application No.
09/606,433 and was amended on	_ (if applicable).		

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/141,672	06/30/99

Full Name of Inventor 1:	Last Name: MCGEE	First Name: LAWRENCE	Middle Name or Initial:	
Residence & Citizenship:	City: Pacifica	State/Foreign Country: California	Country of Citizen United States	ship:
Post Office Address:	Post Office Address: 39 Big Sur Way	City: Pacifica	State/Country: California	Postal Code: 94044
Full Name of Inventor 2:	Last Name: HOUZE	First Name: JONATHAN	Middle Name or Ir B.	nitial:
Residence & Citizenship:	City: San Mateo	State/Foreign Country: California	Country of Citizen United States	ship:
Post Office Address:	Post Office Address: 2383 Ticonderoga Drive	City: San Mateo	State/Country: California	Postal Code: 94402
Full Name of Inventor 3:	Last Name: RUBENSTEIN	First Name: STEVEN	Middle Name or Initial: M.	
Residence & Citizenship:	City: Pacifica	State/Foreign Country: California	Country of Citizen United States	ship:
Post Office Address:	Post Office Address: 1027 Anza Drive	City: Pacifica	State/Country: California	Postal Code: 94044

18781-002710 Client Reference No.: T99-008-1

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1

Signature of Inventor 2

Signature of Inventor 3

Lawrence R. McGee

Date 11/16/00

Date 11/16/00

Signature of Inventor 3

Signature of Inventor 3

Date 11/16/00

Date 11/16/00

SF 1155781 v1

#### POWER OF ATTORNEY BY ASSIGNEE

Tularik Inc. is the Assignee of the invention entitled: COMPOUNDS FOR THE MODULATION OF PPARγ ACTIVITY the specification of which \_\_\_ is attached hereto or <u>X</u> was filed on <u>June 28, 2000</u> as Application Serial No. <u>09/606,433</u>.

Assignee hereby appoints the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

William B. Kezer, Reg. No.37,369 Annette S. Parent, Reg. No. 42,058 M. Henry Heines, Reg. No.28,219 Kathleen L. Choi, Reg. No. 43,433 Eugenia Garrett-Wackowski, Reg. No. 37,330 William M. Smith, Reg. No. 30,223 Timothy L. Smith, Reg. No. 35,367

Send Correspondence to:

William B. Kezer
TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8<sup>th</sup> Floor
San Francisco, CA 94111-3834

Direct Telephone Calls to: (Name, reg. no., tele. no.)

Tularik Ind

William B. Kezer Reg. No.: 37,369 (415) 576-0200

Date: 1/17/00

By: (Signature)

Name: 

Title:

1155764

"Express Mail" Label No. EL 823671978 US Date of Deposit July 30, 2002

Attorney Docket No.: 018781-002720US

Client Ref. No.: T99-008-1/US

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Address" service under 37 CFR 1.10 on the date indicated above and is addressed to:

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

Masha M. Martinenko

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Lawrence R. McGee, et al.

Application No.: Not yet assigned

Filed: Herewith

For: COMPOUNDS FOR THE MODULATION OF PPARY ACTIVITY

Examiner:

Not yet assigned

Art Unit:

Not yet assigned

APPOINTMENT OF ASSOCIATE ATTORNEY UNDER 37 CFR § 1.34(b)

**Assistant Commissioner for Patents** Washington, D.C. 20231

Sir:

I, Eugenia Garrett-Wackowski, hereby appoint Frank J. Mycroft, Registration No. 46,946, as associate attorney in the above-referenced application pursuant to 37 CFR 1.34(b).

TOWNSEND and TOWNSEND and CREW Two Embarcadero Center,  $8^{th}$  Floor

San Francisco, California 94111-3834

Tel: (415) 576-0200 Fax: (415) 576-0300

FJM/mmm

WC 9044568 v1

# CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Applicant: McGee et al.
Application No.: 09/606,433 Filed: July 28, 2000
For: COMPOUNDS FOR THE MODULATION ON PPARY ACTIVITY
Tularik Inc.  (Name of Assignee)  , a corporation  (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
certifies that it is assignee of the patent application identified above by virtue of either:
A. An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel, Frame(s), or for which a copy thereof is attached.  OR
B. A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:
1. From: To: To: The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
2. From: To: To: The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
3. From: To: To: To: The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a supplemental sheet.
Copies of assignments or other documents in the chain of title are attached.
The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.
I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.
Date : 11/17/00
Name: W. J. Rieth. A
Title: Signature:

SF 1155773 v1



Attorney Docket No.: 18781-002710US Client Reference No.: T99-008-1

#### ASSIGNMENT OF PATENT APPLICATION

**JOINT** 

WHEREAS, Lawrence R. McGee, of 39 Big Sur Way, Pacifica, CA 94044, Jonathan B. Houze of 2383 Ticonderoga Drive, San Mateo, California 94402 and Steven M. Rubenstein of 1027 Anza Drive, Pacifica, California 94044, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

COMPOUNDS FOR THE MODULATION OF PPARY

ACTIVITY

Date(s) of execution of Declaration: 11/15/00, 11/16/00 and 11/16/00

Filing Date:

June 28, 2000

Application No.:

09/606,433

WHEREAS, Tularik Inc., of Two Corporate Drive, So. San Francisco, CA, 94080, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

- NOT FOR RECORDATION -

Assignment Attorney Docket No.: 18781-002710US

Page 2

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 15 Nov 2000	Z-R Mrsen
	Lawrence R. McGee
SIGNATURE WITNESSED BY:	
(1) Date: 11/15/2000	Signature of Witness 1
(2) Date: 11/15/200	Signature of Witness 2
u 111 100	
Dated:	Jonathan B. Houze
SIGNATURE WITNESSED BY:	
(1) Date:	Signature of Witness 1
(2) Date: 11/16/00	Signature of Witness 2
Dated:	Steven M. Rubenstein
SIGNATURE WITNESSED BY:	P
(1) Date: 11 / 16 / 00	Signature of Witness 1
(2) Date: 11/16/00	Simon of Winner 2



81-09271005

Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

FEBRUARY 13, 2001

PTAS TOWNSEND AND TOWNSEND AND CREW LLP WILLIAM B. KEZER TWO EMBARCADERO CENTER, 8TH FLOOR SAN FRANCISCO, CALIFORNIA 94111-3834

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE, ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/01/2000

REEL/FRAME: 011309/0253

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MCGEE, LAWRENCE R.

DOC DATE: 11/15/2000

ASSIGNOR:

HOUZE, JONATHAN B.

DOC DATE: 11/16/2000

ASSIGNOR:

RUBENSTEIN, STEVEN M.

DOC DATE: 11/16/2000

ASSIGNEE:

TULARIK INC.

TWO CORPORATE DRIVE

SOUTH SAN FRANCISCO, CALIFORNIA

94080

SERIAL NUMBER: 09606433

PATENT NUMBER:

FILING DATE: 06/28/2000

ISSUE DATE:

## 011309/0253 PAGE 2

SEDLEY PYNE, PARALEGAL ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

FORM PTO-1595

(Box 6 93)

12-08-2000



## orney Docket No. 018781-002710US

U.S. Department of Commerce 101544233 (Rev. 6-93) Patent and Trademark Office died ong...al documents or copy thereof To the Honorable Asst. Co for Patents. 2. Name and address of receiving party(ies) 1. Name of conveying party(ies): Name: Tularik Inc. Lawrence R. McGee, Jonathan B. Houze and Steven M. Rubenstein Internal Address: Additional name(s) of conveying parties attached? ☐ Yes ☒ No. Street Address: Two Corporate Drive 3. Nature of conveyance: City: South San Francisco State: California ZIP: 94080  $\boxtimes$ Assignment Merger Additional names and addresses attached? ☐ Yes ☒ No Security Agreement Change of Name Other: Execution Date: 11/15/00, 11/16/00 and 11/16/00 4. Application Number(s) or Patent Numbers. If this document is being filed together with a new application, the execution date of the application is: June 18, 1999 B. Patent No(s): A. Patent Application No(s): 09/606,433 Additional numbers attached? ☐ Yes ☒ No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved one concerning document should be mailed: 7. Total fee (37 CFR 3.41): --William B. Kezer Name: TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8th Floor ☐ Enclosed □ Charge Fees to Deposit Account San Francisco, California 94111-3834 (415) 576-0200 Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account. 8. Deposit account number: 20-1430 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document. /MB.Keyn William B. Kezer Name of Person Signing Atty Reg. No. 37,369 Total number of pages including cover sheet, attachments and document 10. Change Correspondence Address to that of Part 5? Yes □ No OMB No. 0651-0011 (exp. 4/94) Do not detach this portion Mail documents to be recorded with required cover to: Asst. Commissioner for Patents Box: Assignments Washington, D.C. 20231

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12/05/2000 SDUDNG 00000101 201430 09606433

05 FC:581



Attorney Docket No.: 18781-002710US

Client Reference No.: T99-008-1

#### ASSIGNMENT OF PATENT APPLICATION

**JOINT** 

WHEREAS, Lawrence R. McGee, of 39 Big Sur Way, Pacifica, CA 94044, Jonathan B. Houze of 2383 Ticonderoga Drive, San Mateo, California 94402 and Steven M. Rubenstein of 1027 Anza Drive, Pacifica, California 94044, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

COMPOUNDS FOR THE MODULATION OF PPARY

**ACTIVITY** 

Date(s) of execution of Declaration: 11/15/00, 11/16/00 and 11/16/00

Filing Date:

June 28, 2000

Application No.:

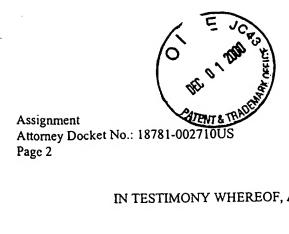
09/606,433

WHEREAS, Tularik Inc., of Two Corporate Drive, So. San Francisco, CA, 94080, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8th Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.



IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 15 Nov 2000	Lawrence R. McGee
SIGNATURE WITNESSED BY:	
(1) Date: 11/15/2000 (2) Date: 11/15/2000	Signature of Witness 1  Frank lug  Signature of Witness 2
Dated:	Jonathan B. Houze  Signature of Witness 1  Signature of Witness 2
Dated:	Steven M. Rubenstein
SIGNATURE WITNESSED BY:	P
(1) Date: $11/16/c0$ (2) Date: $11/16/c0$	Signature of Witness 1  Signature of Witness 2